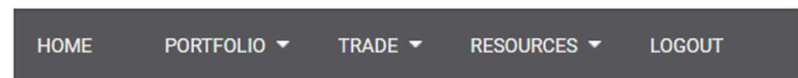


All Trades will be placed in either **Transaction Notes** or **Pending Orders**.

- Tomorrow or the next business day these trades will be processed and placed in the Account Holdings.

You can locate Transaction Notes and Pending Orders under the tab **Trade**



**Pending Orders**

Pending Transactions for Team ID : MI\_95\_A112 As Of : 05/12/2020

Transaction Type	Shares or \$Value	Ticker	Limit Price	Trade Entered	Confirmation	Description
Buy	\$5,000	CO_059438AK7	MKT	2020-05-12 11:22:15	SFJ-0117	Order Accepted
Buy	\$5,000	CO_122014AE3	MKT	2020-05-12 11:21:37	SFI-0117	Order Accepted
Buy	380	SHPSX	MKT	2020-05-12 11:20:50	SFK-0116	Order Accepted
Buy	250	RYAFX	MKT	2020-05-12 11:20:21	SFJ-0116	Order Accepted

**Transaction Notes**

Transaction Notes for Team ID:MI\_95\_A112 As Of: 05/12/2020

Trade Type	TICKER	Shares or \$Value	Limit Price	Trade Entered	Confirmation	Description
Buy	DAN	910	MKT	2020-05-12 11:19	SFI-0116	Order Executed: price=\$10.46
Buy	OCFT	770	MKT	2020-05-12 11:19	SFL-0115	Order Executed: price=\$13.68
Buy	UI	50	MKT	2020-05-12 11:18	SFJ-0115	Order Executed: price=\$188.50
Buy	ATO	100	MKT	2020-05-12 11:18	SFL-0114	Order Executed: price=\$97.10
Buy	GWW	35	MKT	2020-05-12 11:17	SFI-0114	Order Executed: price=\$281.04
Buy	ABT	105	MKT	2020-05-12 11:17	SFL-0113	Order Executed: price=\$95.88
Buy	MKC	60	MKT	2020-05-12 11:16	SFJ-0113	Order Executed: price=\$167.64
Buy	ECL	50	MKT	2020-05-12 11:16	SFI-0113	Order Executed: price=\$197.28

## After making a trade it is important to read the message that follows a confirmed trade.

Trade Order Confirmed: SFI-0123

For "End-Of-Day"\* Accounts:

Confirm the [status of transactions](#) on the [Pending Transactions](#) screen.

The [executed trade price](#) of transactions will be available the following market day.

For "Real-Time"\* Accounts: Confirm the [status of transactions](#) on the [Transaction Notes](#) screen.

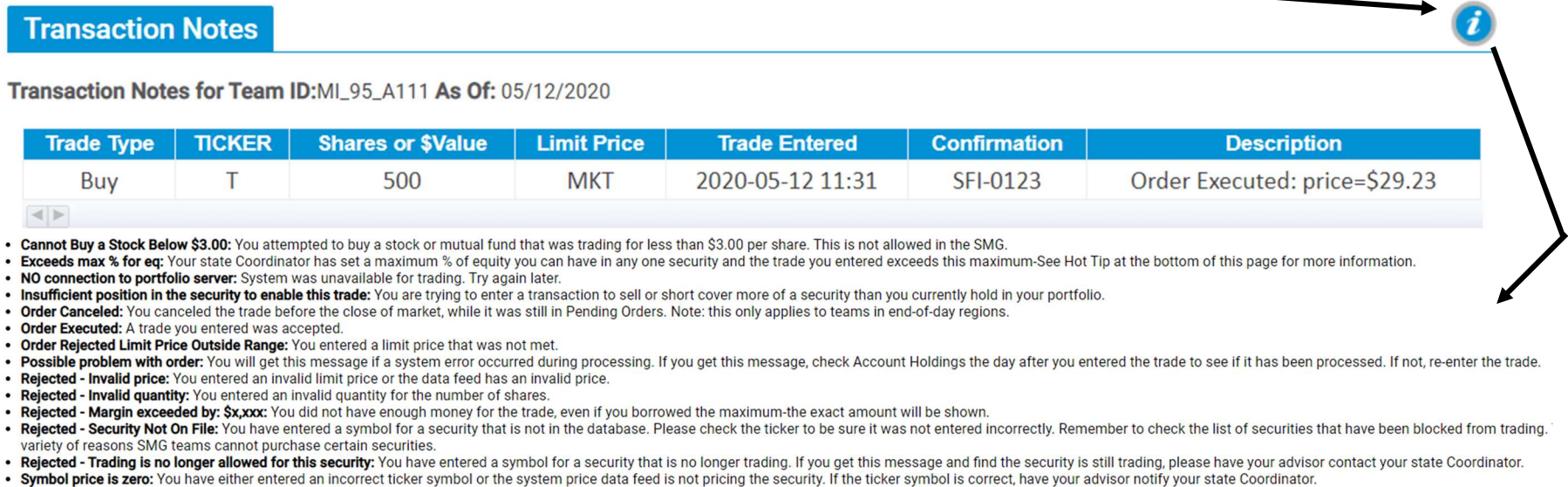
During market hours (i.e. Mon.-Fri., 9:30am-4pm ET, excluding holidays), [pricing for transactions](#) will be displayed immediately upon execution.

The [executed trade price](#) of afterhours transactions will be available the following market day.

\*To confirm whether you are an End-of-Day or Real-Time Account, please refer to the [homepage of your portfolio](#).

**If there is a different message other than Trade Order Confirmed, you will want to go to Transaction Notes to read the description.**

**To learn about the possible description note click on**



**Transaction Notes**

Transaction Notes for Team ID:MI\_95\_A111 As Of: 05/12/2020

Trade Type	TICKER	Shares or \$Value	Limit Price	Trade Entered	Confirmation	Description
Buy	T	500	MKT	2020-05-12 11:31	SFI-0123	Order Executed: price=\$29.23

- **Cannot Buy a Stock Below \$3.00:** You attempted to buy a stock or mutual fund that was trading for less than \$3.00 per share. This is not allowed in the SMG.
- **Exceeds max % for eq:** Your state Coordinator has set a maximum % of equity you can have in any one security and the trade you entered exceeds this maximum-See Hot Tip at the bottom of this page for more information.
- **NO connection to portfolio server:** System was unavailable for trading. Try again later.
- **Insufficient position in the security to enable this trade:** You are trying to enter a transaction to sell or short cover more of a security than you currently hold in your portfolio.
- **Order Canceled:** You canceled the trade before the close of market, while it was still in Pending Orders. Note: this only applies to teams in end-of-day regions.
- **Order Executed:** A trade you entered was accepted.
- **Order Rejected Limit Price Outside Range:** You entered a limit price that was not met.
- **Possible problem with order:** You will get this message if a system error occurred during processing. If you get this message, check Account Holdings the day after you entered the trade to see if it has been processed. If not, re-enter the trade.
- **Rejected - invalid price:** You entered an invalid limit price or the data feed has an invalid price.
- **Rejected - invalid quantity:** You entered an invalid quantity for the number of shares.
- **Rejected - Margin exceeded by: \$x,xxx:** You did not have enough money for the trade, even if you borrowed the maximum-the exact amount will be shown.
- **Rejected - Security Not On File:** You have entered a symbol for a security that is not in the database. Please check the ticker to be sure it was not entered incorrectly. Remember to check the list of securities that have been blocked from trading. variety of reasons SMG teams cannot purchase certain securities.
- **Rejected - Trading is no longer allowed for this security:** You have entered a symbol for a security that is no longer trading. If you get this message and find the security is still trading, please have your advisor contact your state Coordinator.
- **Symbol price is zero:** You have either entered an incorrect ticker symbol or the system price data feed is not pricing the security. If the ticker symbol is correct, have your advisor notify your state Coordinator.

